

FORM PTO-1390 (Modified) (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 428	
TRANSMITTAL LETTER THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/646978)	
INTERNATIONAL APPLICATION NO. PCT/JP00/00402		INTERNATIONAL FILING DATE January 27, 2000		PRIORITY DATE CLAIMED January 28, 1999	
TITLE OF INVENTION LIGHT-EMITTING MATERIAL AND PRODUCING METHOD THEREOF					
APPLICANT(S) FOR DO/EO/US 1) Qinglong HAO, 2) Pengcheng LI, 3) Qian XIU, 4) Atsushi OGURA and 5) Jingfeng GAO					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 					
Items 13 to 18 below concern document(s) or information included:					
<ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. <input type="checkbox"/> A substitute specification. 17. <input type="checkbox"/> A change of power of attorney and/or address letter. 18. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 19. <input checked="" type="checkbox"/> Other items or information: 					
<p>THE FEE CALCULATION MUST BE BASED ON THE CLAIMS AS AMENDED AND ADDED IN THE ATTACHED PRELIMINARY AMENDMENT.</p>					

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25 SEP 2000
09/646978

EXPRESS MAIL CERTIFICATE OF MAILING - SEPARATE PAPER

IN THE MATTER OF: HAO et al

ATTORNEY'S DOCKET NO.: 4296

FOR: LIGHT-EMITTING MATERIAL AND PRODUCING METHOD THEREOF

I hereby certify that the new PCT/DO application:

- ☒ transmittal letter
- ☒ specification and claims
- ☒ declaration
- ☒ assignment with Recordation Form Cover Sheet
- ☐ sheets drawings
- ☐ priority document
- ☒ Preliminary Amendment

is being deposited with the United States Postal Services
"Express Mail Post Office to Addressee" service under 37 CFR
1.10 on the date indicated below and is addressed to the
Commissioner of Patents and Trademarks, BOX PCT, Washington, DC
20231

on September 25, 2000.

EXPRESS MAIL LABEL NO.

EL641005539US

Anne R. Jacoby
(Name of Person making deposit)

Anne R. Jacoby
(Signature)

September 25, 2000
(Date)

Anderson, Kill & Olick, P.C.
1251 Avenue of the Americas
New York, NY 10020-1182
(212) 278-1000